

# Graph Algorithms

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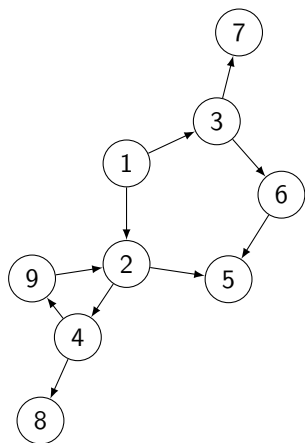
27. Januar 2020

# Outline

- 1 Directed Graphs
  - Formal Definition
  - Implementation
- 2 Graph Traversal Algorithms
  - Generic Graph Traversal
  - DFS and BFS
  - Topological Sorting
  - Shortest Paths
- 3 Shortest Path in Weighted Graphs
  - Single-Source Shortest Path

# Directed Graphs

- A *directed graph* is a set of nodes  $V$  and edges  $E \subseteq V \times V$

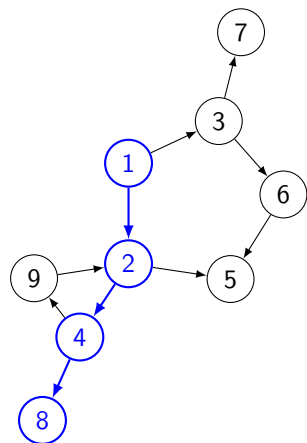


$$V = \{1, 2, \dots, 9\}$$

$$E = \{(1, 2), (1, 3), (2, 4), (2, 5), (3, 6), (3, 7), (4, 8), (4, 9), (6, 5), (9, 2)\}$$

# Directed Graphs

- A *directed graph* is a set of nodes  $V$  and edges  $E \subseteq V \times V$



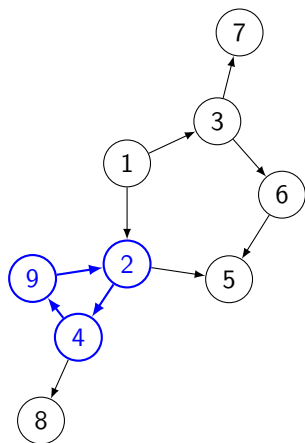
*Path*: Sequence of nodes  $u_1 \dots u_n$ ,  
with  $\forall i \in \{1 \dots n-1\}. (u_i, u_{i+1}) \in E$

e.g. 1, 2, 4, 8

If not noted otherwise: paths are cycle-free, e.g.,  
no repeated nodes!

# Directed Graphs

- A *directed graph* is a set of nodes  $V$  and edges  $E \subseteq V \times V$

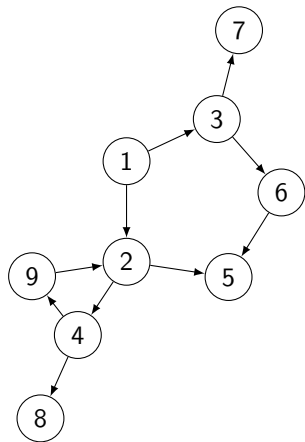


*Cycle*: Path with same start and end node  
e.g. 2, 4, 9, 2

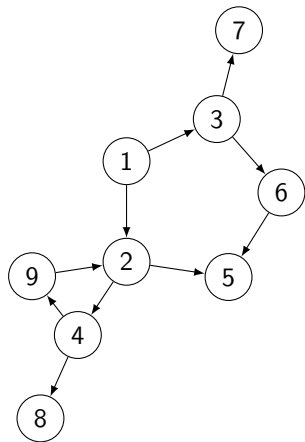
# Operations

- Here: nodes implicitly given by edges:  
 $V := \{u \mid \exists v. (u, v) \in E \vee (v, u) \in E\}$
- EMPTY returns empty graph.  $E \leftarrow \emptyset$
- ADDEDGE( $u, v$ ) adds an edge.  $E \leftarrow E \cup \{(u, v)\}$
- REMOVEEDGE( $u, v$ ) removes an edge.  $E \leftarrow E \setminus \{(u, v)\}$
- ISEGE( $u, v$ ) checks for edge.  $(u, v) \in E$
- SUCCS( $u$ ) returns successors of node.  $\{v \mid (u, v) \in E\}$

# Implementation



# Implementation



Adjacency list

Store list/set of successors for each node

$succs[1] = \{2, 3\}$

$succs[2] = \{4, 5\}$

$succs[3] = \{6, 7\}$

$succs[4] = \{8, 9\}$

$succs[5] = \{\}$

$succs[6] = \{5\}$

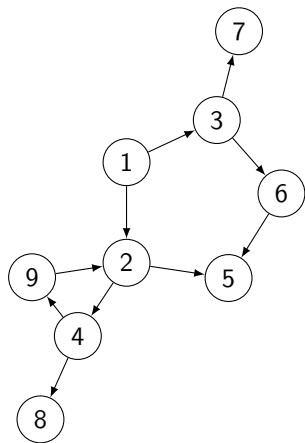
$succs[7] = \{\}$

$succs[8] = \{\}$

$succs[9] = \{2\}$



# Implementation



Adjacency Matrix

$m(u, v) = T$  iff edge from  $u$  to  $v$

	1	2	3	4	5	6	7	8	9
1	<i>F</i>	<i>T</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
2	<i>F</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
3	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>T</i>	<i>F</i>	<i>F</i>
4	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>T</i>
5	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
6	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
7	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
8	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>
9	<i>F</i>	<i>T</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>	<i>F</i>

# Adjacency Lists

- Map each node to list of successors
  - $E = \{(u, v) \mid v \in \text{succs}(u)\}$
  - directly implements  $\text{SUCCS}(u)$
  - for integer nodes: use array of (dynamic) arrays
- Memory  $O(|V| + |E|)$

Operation	Implementation	Complexity
$\text{ADDEDGE}(u, v)$	append $v$ to $\text{succs}(u)$	$O(1)$ (amortized)
$\text{REMOVEEDGE}(u, v)$	remove $v$ from $\text{succs}(u)$	$O( V )$
$\text{ISEEDGE}(u, v)$	search for $v$ in $\text{succs}(u)$	$O( V )$
$\text{SUCCS}(u)$	return $\text{succs}(u)$	$O(1)$

# Adjacency Matrix

- Store  $|V| \times |V|$  map to Booleans
  - $E = \{(u, v) \mid m(u, v) = \text{true}\}$
  - for integer nodes: use 2 dimensional array
- Memory  $O(|V|^2)$ .
  - Bad for sparse graphs ( $|E| \ll |V|^2$ ).

Operation	Implementation	Complexity
ADDEDGE( $u, v$ )	$m(u, v) \leftarrow \text{true}$	$O(1)$
REMOVEEDGE( $u, v$ )	$m(u, v) \leftarrow \text{false}$	$O(1)$
ISEEDGE( $u, v$ )	return $m(u, v)$	$O(1)$
SUCCS( $u$ )	return $\{v \mid m(u, v) = \text{true}\}$	$O( V )$

## Adjacency Matrix + Adjacency List

- Store graph simultaneously as adjacency list and matrix
- Memory:  $O(|V|^2)$
- ADDEDGE, ISEGE, SUCCS —  $O(1)$
- REMOVEEDGE —  $O(|V|)$

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  - Implementation
- ② Graph Traversal Algorithms
  - Generic Graph Traversal
  - DFS and BFS
  - Topological Sorting
  - Shortest Paths
- ③ Shortest Path in Weighted Graphs
  - Single-Source Shortest Path

# Generic Graph Traversal

- Explore edges to new nodes, until all nodes discovered

**procedure** EXPLORE( $s$ )

$D \leftarrow \{s\}, F \leftarrow \emptyset$

**while**  $D \neq \emptyset$  **do**

$u \leftarrow$  Some  $u \in D$

$D \leftarrow D \setminus \{u\}, F \leftarrow F \cup \{u\}$


$D \leftarrow D \cup \{v \mid (u, v) \in E \wedge v \notin F\}$

**return**  $F$

- $F$  finished, outgoing edges have been explored
- $D$  discovered, but outgoing edges yet to be explored
- EXPLORE( $s$ ) returns exactly the nodes reachable from  $s$

## Example

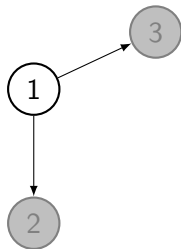



 finished

 discovered

$$D = \{ 1 \}$$

## Example



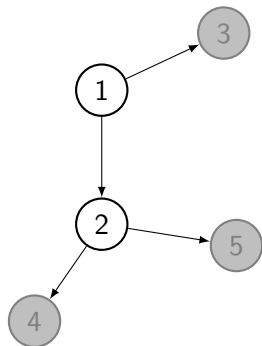
 finished

 discovered

$$D = \{ 2 \ 3 \}$$



## Example

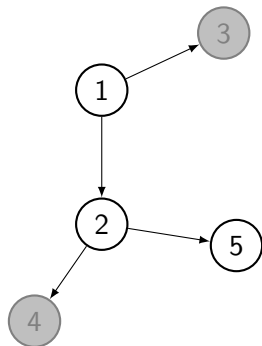



finished

discovered

$D = \{ 3\ 4\ 5 \}$

## Example

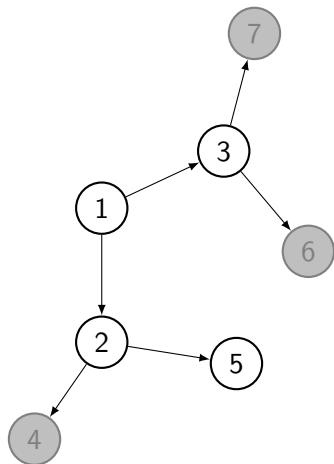


 finished

 discovered

$D = \{ 3\ 4 \}$

## Example

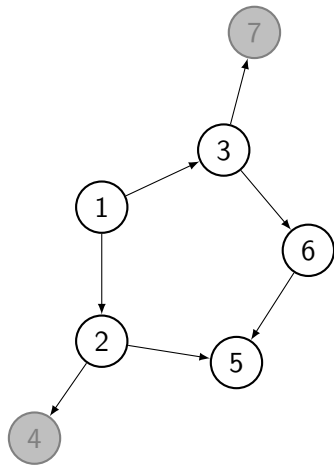


$(n)$  finished

$(n)$  discovered

$$D = \{ 4 \ 6 \ 7 \}$$

## Example

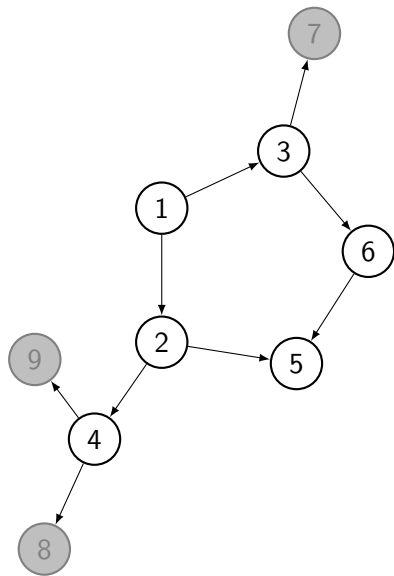


$(n)$  finished


$(n)$  discovered

$$D = \{ 4 7 \}$$

## Example

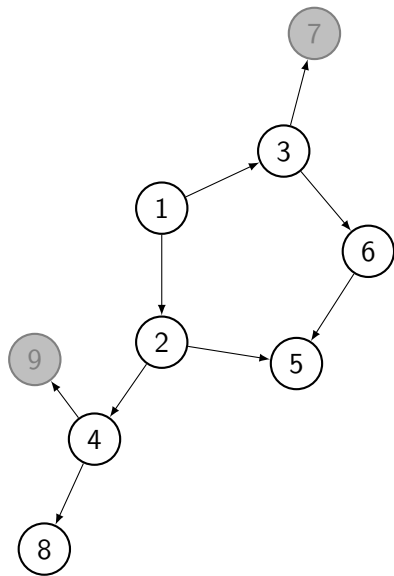


$D = \{ 7 \ 8 \ 9 \}$


 finished

 discovered

## Example

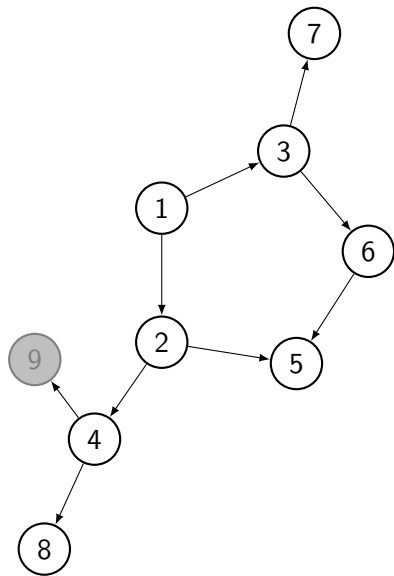


$D = \{ 7 9 \}$


 finished

 discovered

## Example

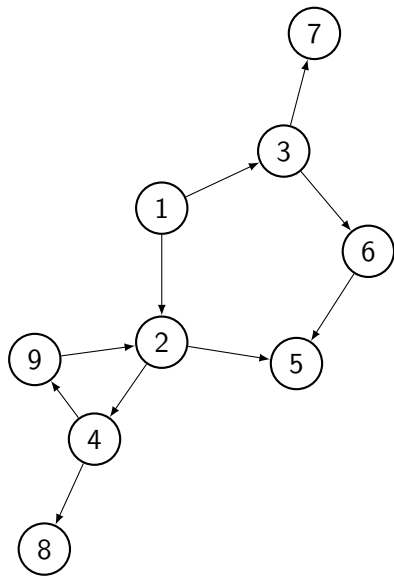


$D = \{ 9 \}$


 finished

 discovered

## Example



$D = \{ \}$

 finished

 discovered



## Generic Graph Traversal (Correctness)

EXPLORE( $s$ ) returns exactly the nodes reachable from  $s$

- Any node in  $D \cup F$  is reachable:
    - Initially, only  $s \in D \cup F$
    - only successors of nodes in  $D \cup F$  added
  - If a node is reachable, it will be included in  $F$ :
    - During the loop, successors of finished nodes are finished or discovered
    - Finally,  $D = \emptyset$ , thus successors of finished nodes are finished
- $\implies$  every reachable node is finished (follow path from  $s$ )

# DFS and BFS

**procedure** EXPLORE( $s$ )

$D \leftarrow \{s\}, F \leftarrow \emptyset$

**while**  $D \neq \emptyset$  **do**

$u \leftarrow$  Some  $u \in D$

$D \leftarrow D \setminus \{u\}, F \leftarrow F \cup \{u\}$


$D \leftarrow D \cup \{v \mid (u, v) \in E \wedge v \notin F\}$

**return**  $F$

- In which order do we process nodes from  $D$
- Last in / first out (stack): *Depth First Search* (DFS)
- First in / first out (queue): *Breadth First Search* (BFS)

## Example: DFS

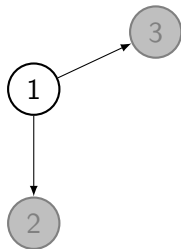


 finished

 discovered

D (LiFo): [ 1 ]

## Example: DFS

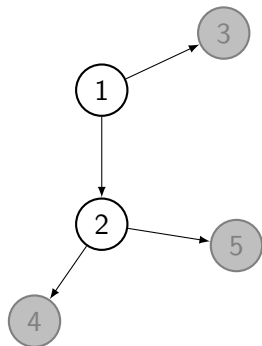



(n) finished

(n) discovered

D (LiFo): [ 3 2 ]

## Example: DFS

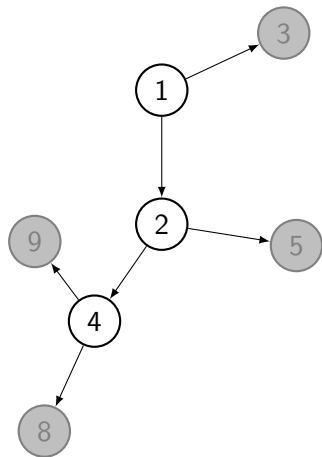


 finished

 discovered

D (LiFo): [ 3 5 4 ]

## Example: DFS

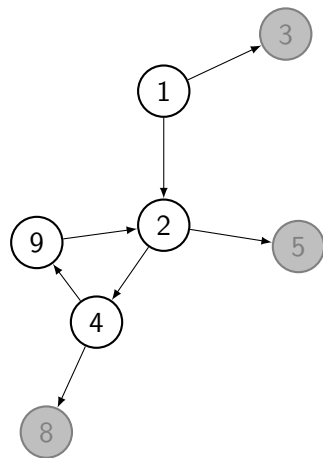



D (LiFo): [ 3 5 8 9 ]

(n) finished

(n) discovered

## Example: DFS

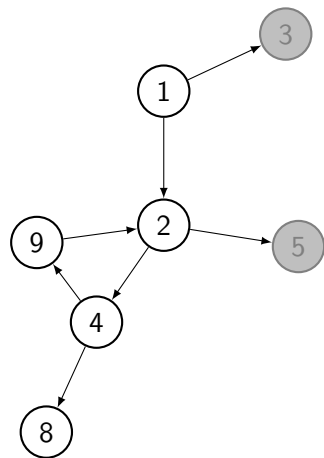


 finished

 discovered

D (LiFo): [ 3 5 8 ]

## Example: DFS



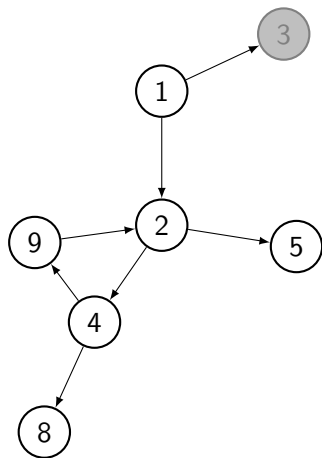
D (LiFo): [ 3 5 ]

(n) finished

(n) discovered



## Example: DFS

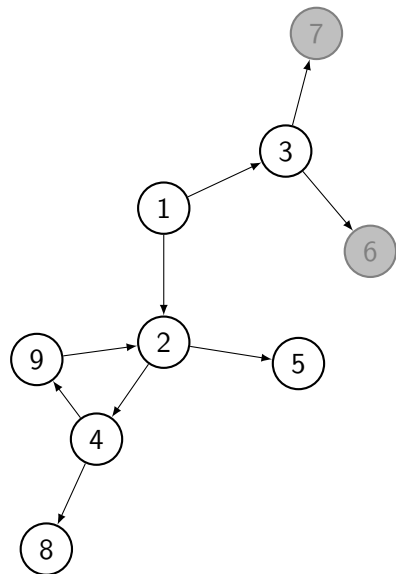


D (LiFo): [ 3 ]

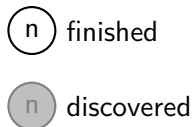
(n) finished

(n) discovered

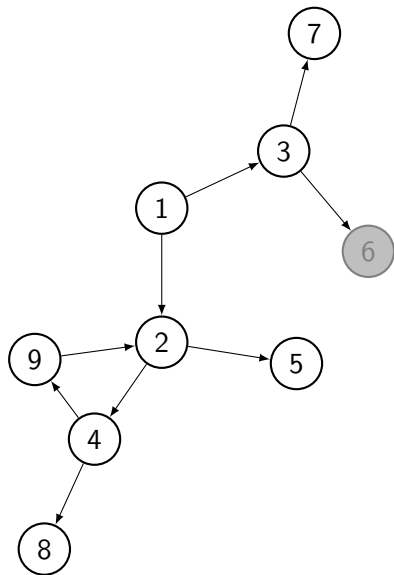
## Example: DFS




D (LiFo): [ 6 7 ]



## Example: DFS

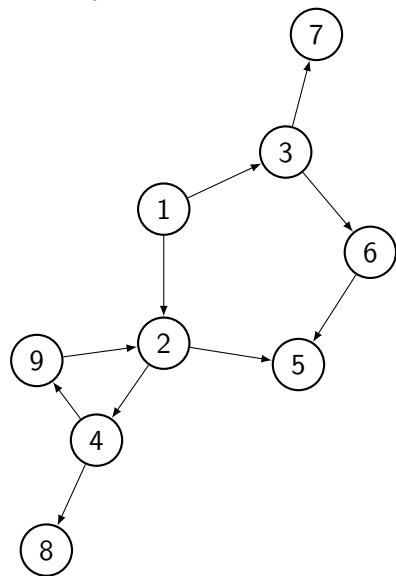



 finished

 discovered

D (LiFo): [ 6 ]

## Example: DFS




 finished

 discovered

D (LiFo): []

## Example: BFS

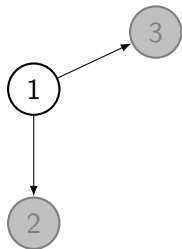


 finished

 discovered

D (FiFo): [ 1 ]

## Example: BFS

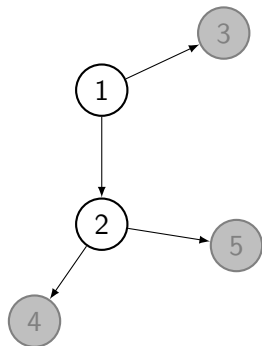


○ n finished

● n discovered

D (FiFo): [ 2 3 ]

## Example: BFS

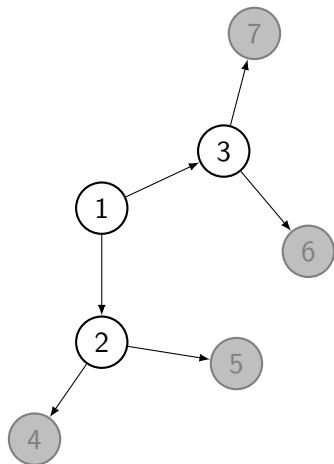


○ n finished

● n discovered

D (FiFo): [ 3 4 5 ]

## Example: BFS



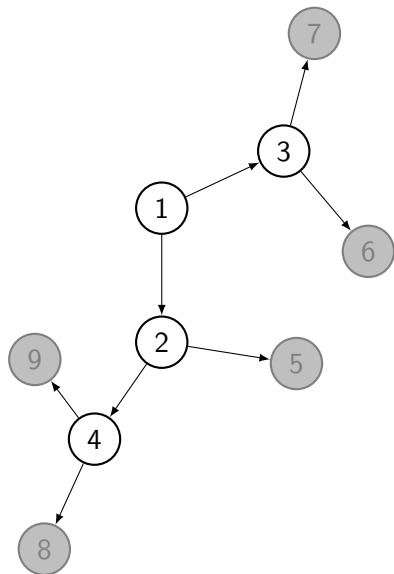
(n) finished

(n) discovered

D (FiFo): [ 4 5 7 6 ]



## Example: BFS

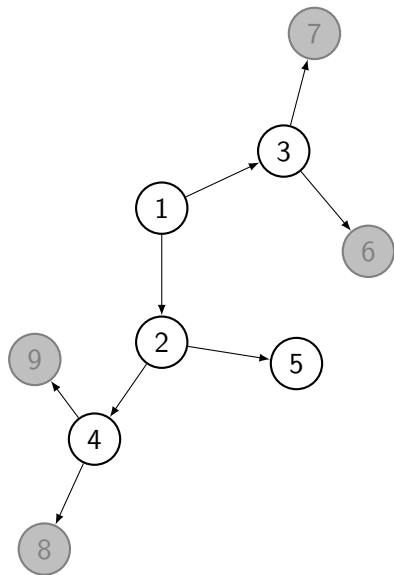


(n) finished

(n) discovered

D (FiFo): [ 5 7 6 9 8 ]

## Example: BFS

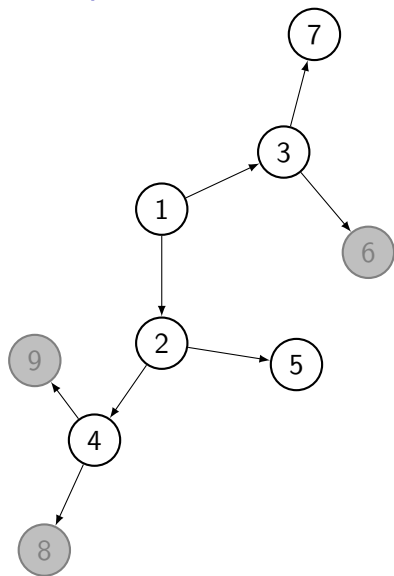


(n) finished

(n) discovered

D (FiFo): [ 7 6 9 8 ]

## Example: BFS

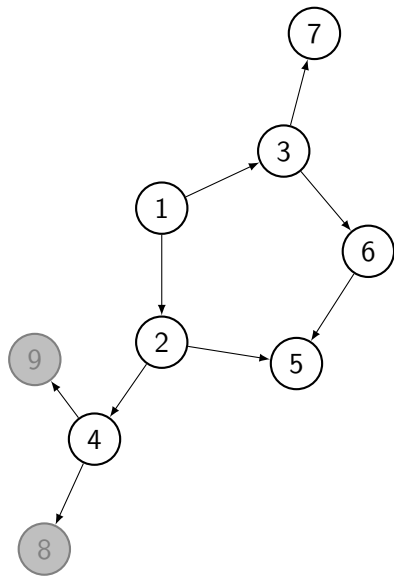


(n) finished

(n) discovered

D (FiFo): [ 6 9 8 ]

## Example: BFS

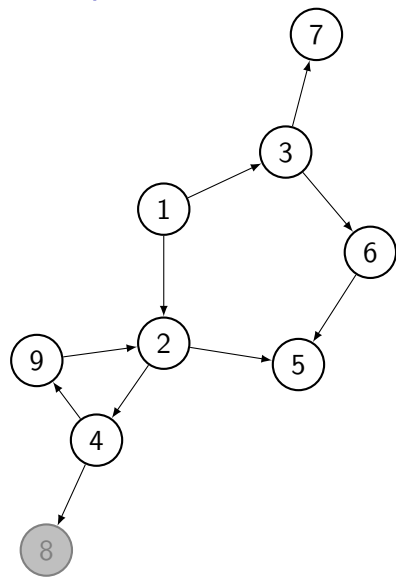


(n) finished

(n) discovered

D (FiFo): [ 9 8 ]

## Example: BFS

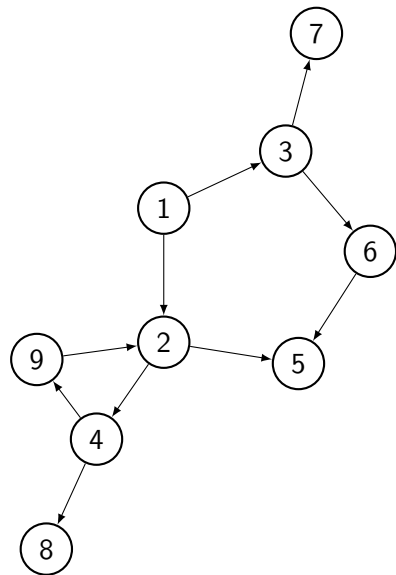



(n) finished

(n) discovered

D (FiFo): [ 8 ]

## Example: BFS



 finished

 discovered

D (FiFo): [ ]

# Recursive DFS

- DFS has nice recursive implementation

```
procedure DFSREC( $F, u$ )  
  if  $u \notin F$  then  
     $F \leftarrow F \cup \{u\}$   
    for all  $v$  with  $(u, v) \in E$  do  
       $F \leftarrow$  DFSREC( $F, v$ )  
  return  $F$   
procedure DFS( $s$ ) return DFSREC( $\emptyset, s$ )
```

# Topological Sorting

- Set of tasks (e.g. build jobs in Makefile)
- Dependencies, i.e. tasks that needs to be completed before a task can be started
- Model as directed graph. Edge  $(u, v)$ :  $v$  depends on  $u$ .
- Find a *build sequence*



## Example

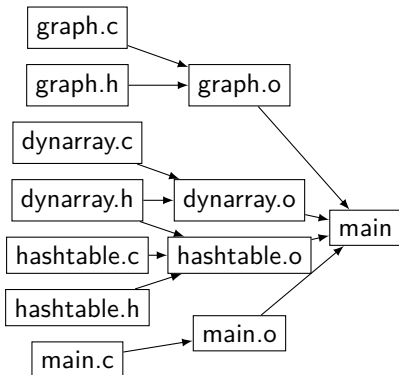
```
main: main.o hashtable.o dynarray.o graph.o  
gcc ...
```

```
main.o: main.c
```

```
hashtable.o: hashtable.h hashtable.c dynarray.h
```

```
dynarray.o: dynarray.h dynarray.c
```

```
graph.o: graph.h graph.c
```



Possible build sequence:

```
graph.o, dynarray.o, hashtable.o, main.o,  
main
```

# Topological Sorting

- Arrange nodes sequentially, such that all edges point forwards
  - Intuition: All prerequisites come earlier in sequence
- Topological sorting possible iff graph has no cycles
  - *Directed Acyclic Graph* (DAG)
- Now: DFS based algorithm for topological sorting and cycle detection

## Cycle detection with DFS

- When first encountering a node, mark it as *open*.
- Only when finished exploring its children, mark it as *done*
- Whenever we encounter an open node again, it's a cycle

**procedure** DFSREC( $F, u$ )

**if**  $F(u) = N$  **then**

$F(u) \leftarrow O$            // Start processing node

**for all**  $v$  with  $(u, v) \in E$  **do**

$F \leftarrow$  DFSREC( $F, v$ )

$F(u) \leftarrow D$            // Done processing node

**else if**  $F(u) = O$  **then**

    Error: found cycle

**return**  $F$

**procedure** DFS( $s$ )

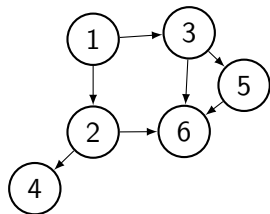
$F(u) \leftarrow N$  for all nodes  $u$  **return** DFSREC( $F, s$ )

# Topological Sorting

```
procedure DFSREC( $F, u$ )  
  if  $F(u) = N$  then  
     $F(u) \leftarrow O$  // Start processing node  
    for all  $v$  with  $(u, v) \in E$  do  
       $F \leftarrow$  DFSREC( $F, v$ )  
     $F(u) \leftarrow D$  // Done processing node  
  else if  $F(u) = O$  then  
    Error: found cycle  
  return  $F$   
procedure DFS( $s$ )  
   $F(u) \leftarrow N$  for all nodes  $u$  return DFSREC( $F, s$ )
```

- When node is done, all its successors are already done  
 $\implies$  Nodes done in reverse topological order
- to get topological sort: *prepend* nodes to list when marked as done

# Example



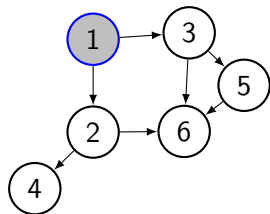
Result List:

 *New*

 *Open*

 *Done*

# Example



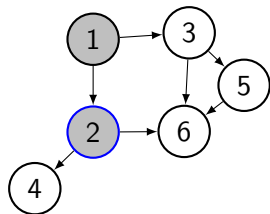
Result List:

**n** *New*

**n** *Open*

**n** *Done*

# Example



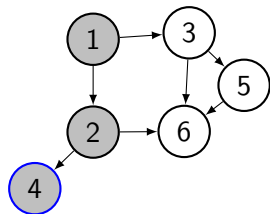
Result List:

**n** *New*

**n** *Open*

**n** *Done*

# Example



Result List:

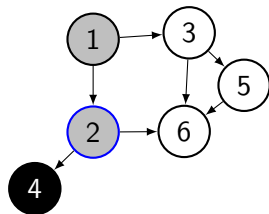
**n** *New*

**n** *Open*

**n** *Done*



# Example



Result List:

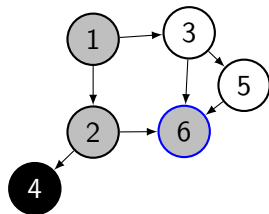
4

*New*

*Open*

*Done*

# Example



Result List:

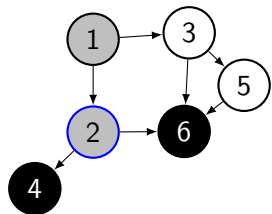
4

**n** *New*

**n** *Open*

**n** *Done*

# Example



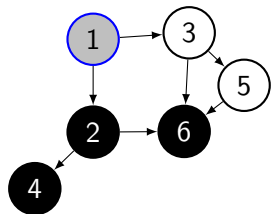
Result List:            6 4

*New*

*Open*

*Done*

# Example



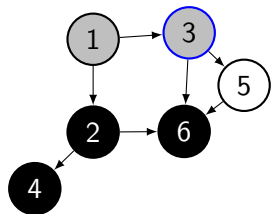
Result List:        2 6 4

 *New*

 *Open*

 *Done*

# Example



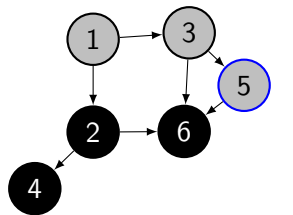
Result List:        2 6 4

*New*

*Open*

*Done*

# Example



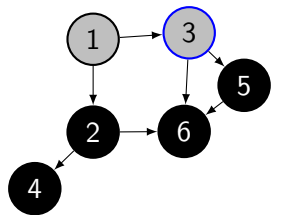
Result List:      2 6 4

 *New*

 *Open*

 *Done*

# Example



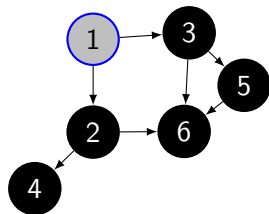
Result List: 5 2 6 4

(n) *New*

(n) *Open*

(n) *Done*

# Example



Result List: 3 5 2 6 4

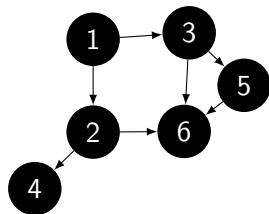
(n) *New*

(n) *Open*

(n) *Done*



# Example



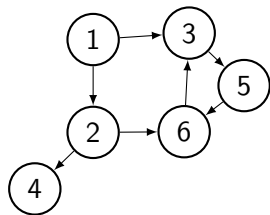
Result List: 1 3 5 2 6 4

(n) *New*

(n) *Open*

(n) *Done*

# Example



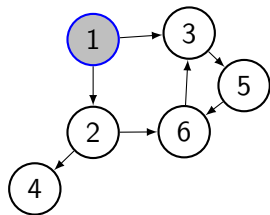
Result List:

*New*

*Open*

*Done*

# Example



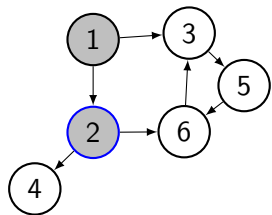
Result List:

 *New*

 *Open*

 *Done*

# Example



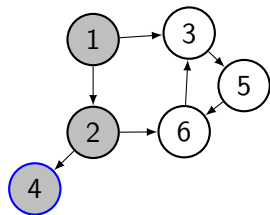
Result List:

*New*

*Open*

*Done*

# Example



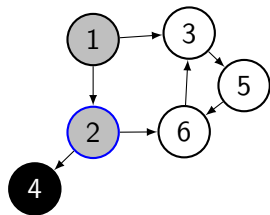
Result List: 4

 *New*

 *Open*

 *Done*

# Example



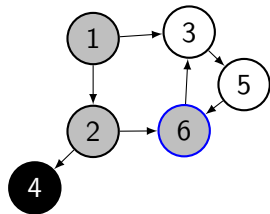
Result List: 4

*New*

*Open*

*Done*

# Example



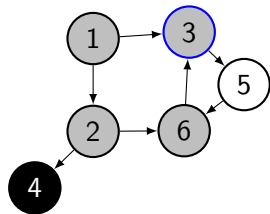
Result List: 4

*New*

*Open*

*Done*

# Example



Result List: 4

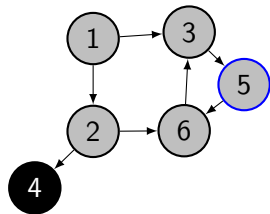
*New*

*Open*

*Done*



# Example



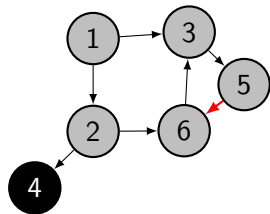
Result List: 4

*New*

*Open*

*Done*

# Example



Result List: 4

Error: found cycle

○ n *New*

● n *Open*

● n *Done*

# Shortest paths with BFS

- Shortest path from  $s$  to some node?
  - shortest = minimal number of edges
- BFS visits nodes in order of their distance from  $s$ !
- Obtain path via *predecessor map*:
  - For each node, store node from which it was discovered
  - Follow these nodes backwards, until  $s$  is reached
  - Convention: predecessor of  $s$  is  $s$  itself.

# BFS Shortest Path

**procedure** SHORTESTPATHS( $s$ )

$D \leftarrow [s], F \leftarrow \emptyset, \pi(s) \leftarrow s$

**while**  $D \neq []$  **do**

$(u, D) \leftarrow \text{DEQUEUE}(D)$

$D \leftarrow D \setminus \{u\}, F \leftarrow F \cup \{u\}$

**for all**  $v$  with  $(u, v) \in E \wedge v \notin F$  **do**

$D \leftarrow \text{ENQUEUE}(v), \pi(v) \leftarrow u$

**return**  $\pi$

**procedure** GETPATH( $\pi, u$ )


$p \leftarrow [u]$


**while**  $\pi(u) \neq u$  **do**

$u \leftarrow \pi(u), p \leftarrow up$

## Example: BFS shortest paths



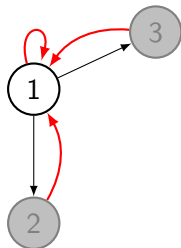
 discovered


 finished


 predecessor map

D (FiFo): [ 1 | ]

## Example: BFS shortest paths



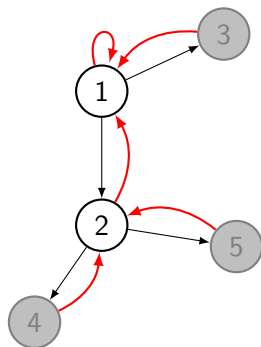
 discovered

 finished


 predecessor map

D (FiFo): [ 1 | 2 3 | ]

## Example: BFS shortest paths



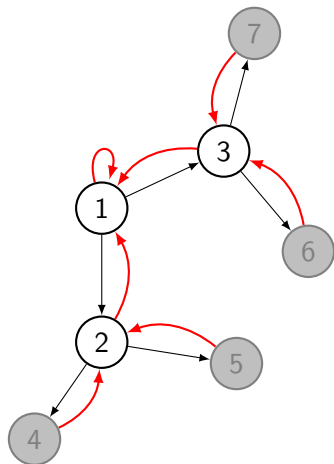
 discovered


 finished


 predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 ]

## Example: BFS shortest paths



 n discovered

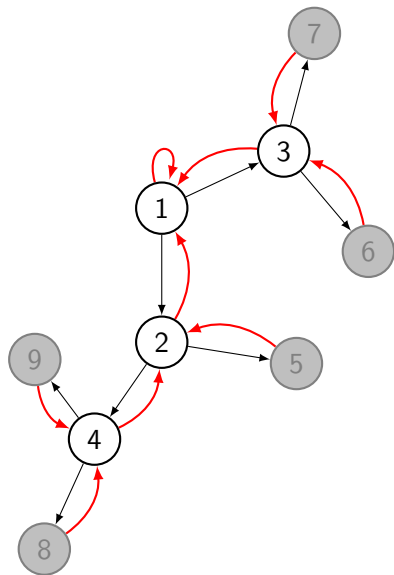
 n finished

 predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 |     ]



## Example: BFS shortest paths



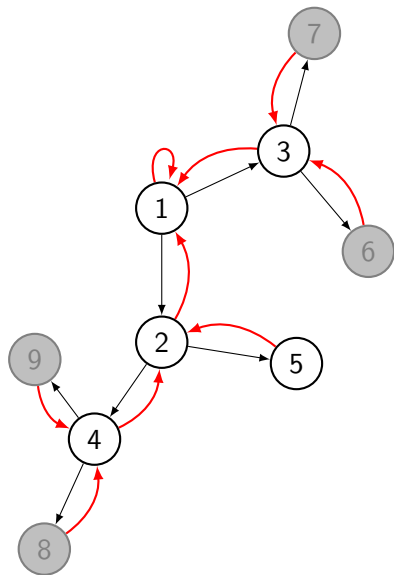
(n) discovered

(n) finished

→ predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



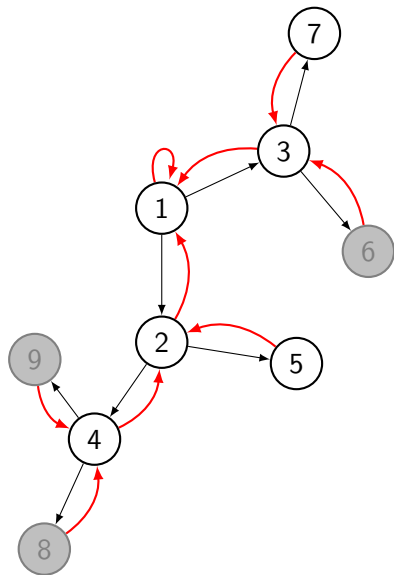
○ n discovered


○ n finished


→ predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



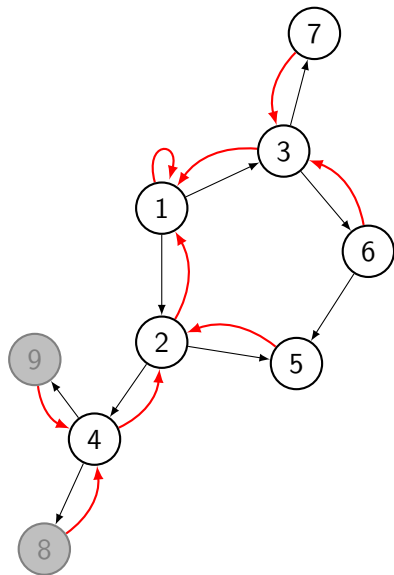
 n discovered


 n finished


 predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



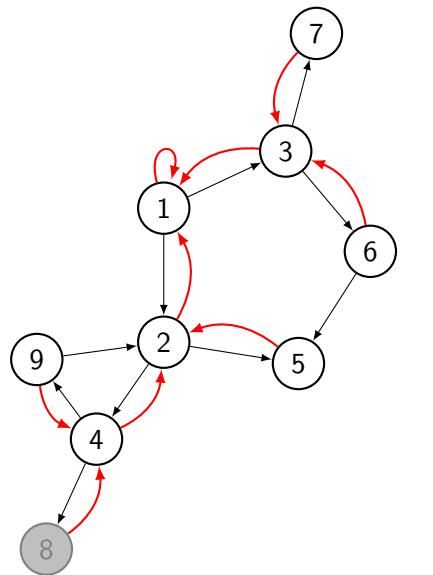
 discovered


 finished


 predecessor map


D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



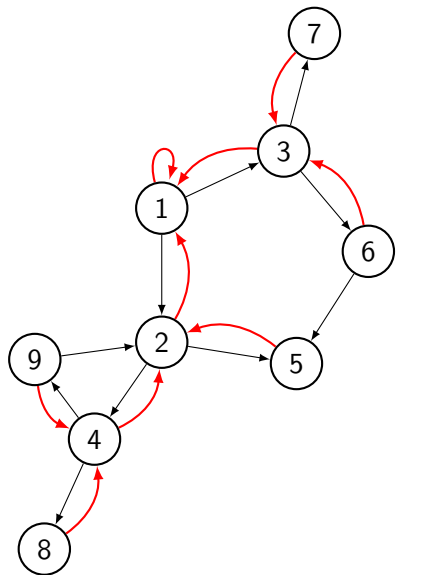
 discovered

 finished


 predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



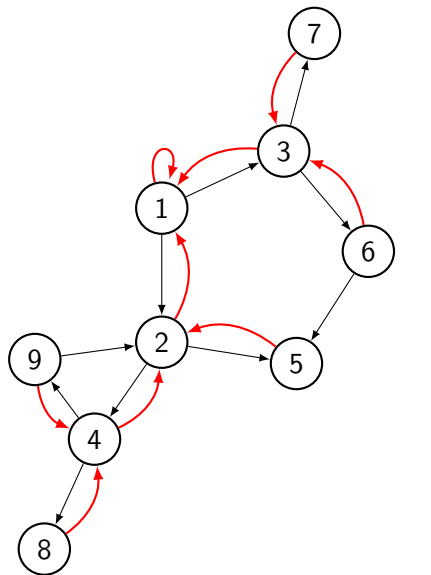
 n discovered

 n finished

 predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



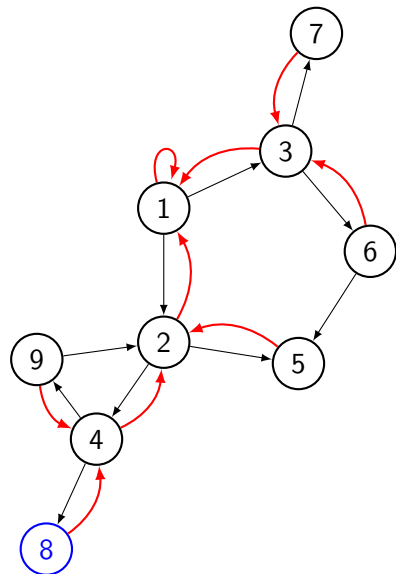
● n discovered

○ n finished


→ predecessor map

D (FiFo): [ 1 | 2 3 | 4 5 7 6 | 9 8 ]

## Example: BFS shortest paths



 n discovered

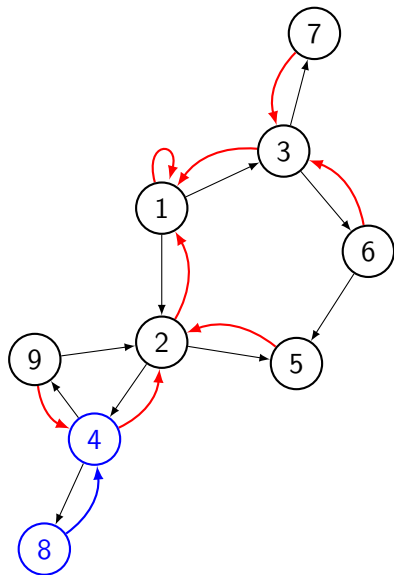
 n finished

 predecessor map

GETPATH(8):  $p = [ \quad 8 ]$



## Example: BFS shortest paths



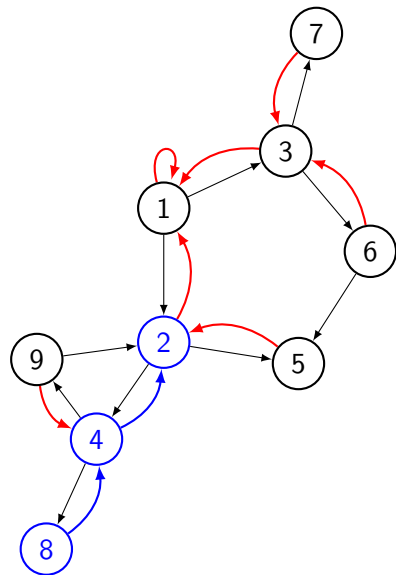
○ n discovered


○ n finished


→ predecessor map

GETPATH(8):  $p = [ \quad 4 \ 8 ]$

## Example: BFS shortest paths



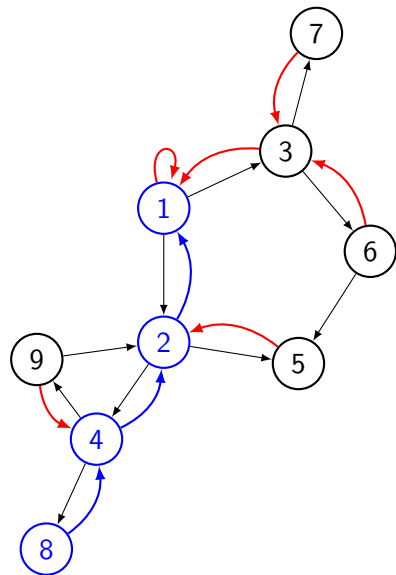
 discovered

 finished

 predecessor map

`GETPATH(8): p = [ 2 4 8 ]`

## Example: BFS shortest paths



GETPATH(8):  $p = [ 1 \ 2 \ 4 \ 8 ]$

○ n discovered

○ n finished

→ predecessor map

# Outline

- ① Directed Graphs
  - Formal Definition
  - Implementation
- ② Graph Traversal Algorithms
  - Generic Graph Traversal
  - DFS and BFS
  - Topological Sorting
  - Shortest Paths
- ③ Shortest Path in Weighted Graphs
  - Single-Source Shortest Path